

# QUANTUM COHOMOLOGY OF ORTHOGONAL GRASSMANNIANS

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**ABSTRACT.** Let  $V$  be a vector space with a nondegenerate symmetric form and  $OG$  be the orthogonal Grassmannian which parametrizes maximal isotropic subspaces in  $V$ . We give a presentation for the (small) quantum cohomology ring  $QH^*(OG)$  and show that its product structure is determined by the ring of  $\tilde{P}$ -polynomials. A ‘quantum Schubert calculus’ is formulated, which includes quantum Pieri and Giambelli formulas, as well as algorithms for computing Gromov–Witten invariants. As an application, we show that the table of 3-point, genus zero Gromov–Witten invariants for  $OG$  coincides with that for a corresponding Lagrangian Grassmannian  $LG$ , up to an involution.

## 1. INTRODUCTION

Consider a complex vector space  $V$  together with a nondegenerate symmetric form. Our aim is to study the structure of the small quantum cohomology ring of the orthogonal Grassmannian of maximal isotropic subspaces in  $V$ . In a companion paper to this one [KT2], we provide a similar analysis in type  $C$ , i.e., for the Lagrangian Grassmannian, and the reader is referred there and to [FP] [LT] for further background. The story in the orthogonal case is similar, but with significant differences, both in the results and in their proofs.

Assuming the dimension of  $V$  is even and equals  $2n+2$  for some natural number  $n$ , then the space of maximal isotropic subspaces of  $V$  has two connected components, each isomorphic to the *even orthogonal Grassmannian* or *spinor variety*  $OG = OG(n+1, 2n+2) = SO_{2n+2}/P_{n+1}$ . Here  $P_{n+1}$  is the maximal parabolic subgroup of  $SO_{2n+2}$  associated to a ‘right end root’ in the Dynkin diagram of type  $D_{n+1}$ . We note that  $OG(n+1, 2n+2)$  is isomorphic (in fact projectively equivalent) to the odd orthogonal Grassmannian  $OG(n, 2n+1) = SO_{2n+1}/P_n$ . Therefore it suffices to work only with the even orthogonal example, and we will do so throughout this paper. We agree that a class  $\alpha$  in the cohomology  $H^{2k}(\mathfrak{X}, \mathbb{Z})$  of a complex variety  $\mathfrak{X}$  has degree  $k$ , to avoid doubling of all degrees.

The cohomology ring  $H^*(OG, \mathbb{Z})$  has a  $\mathbb{Z}$ -basis of Schubert classes  $\tau_\lambda$ , one for each strict partition  $\lambda = (\lambda_1 > \lambda_2 > \dots > \lambda_\ell > 0)$  with  $\lambda_1 \leq n$ . Their multiplication can be described using the  $\tilde{P}$ -polynomials of Pragacz and Ratajski [PR]. Let  $X = (x_1, \dots, x_n)$  be an  $n$ -tuple of variables and define  $\tilde{P}_0(X) = 1$  and  $\tilde{P}_i(X) = e_i(X)/2$  for each  $i > 0$ , where  $e_i(X)$  denotes the  $i$ -th elementary symmetric polynomial in

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$X$ . For nonnegative integers  $i, j$  with  $i \geq j$ , set

$$(1) \quad \tilde{P}_{i,j}(X) = \tilde{P}_i(X)\tilde{P}_j(X) + 2 \sum_{k=1}^{j-1} (-1)^k \tilde{P}_{i+k}(X)\tilde{P}_{j-k}(X) + (-1)^j \tilde{P}_{i+j}(X),$$

and for any partition  $\lambda$  of length  $\ell = \ell(\lambda)$ , not necessarily strict, define

$$(2) \quad \tilde{P}_\lambda(X) = \text{Pfaffian}[\tilde{P}_{\lambda_i, \lambda_j}(X)]_{1 \leq i < j \leq r},$$

where  $r = 2\lfloor(\ell+1)/2\rfloor$ . Let  $\mathcal{D}_n$  be the set of strict partitions  $\lambda$  with  $\lambda_1 \leq n$ .

Let  $\Lambda'_n$  denote the  $\mathbb{Z}$ -algebra generated by the polynomials  $\tilde{P}_\lambda(X)$  for all  $\lambda \in \mathcal{D}_n$ ;  $\Lambda'_n$  is isomorphic to the ring  $\mathbb{Z}[X]^{S_n}$  of symmetric polynomials in  $X$ . By results of [P, Sect. 6] and [PR] we have that the map sending  $\tilde{P}_\lambda(X)$  to  $\tau_\lambda$  for all  $\lambda \in \mathcal{D}_n$  extends to a surjective *ring* homomorphism  $\phi : \Lambda'_n \rightarrow H^*(OG, \mathbb{Z})$  with kernel generated by the relations  $\tilde{P}_{i,i}(X) = 0$  for  $1 \leq i \leq n$ . The map  $\phi$  can be realized as evaluation on the Chern roots of the tautological quotient vector bundle  $Q$  over  $OG$  (note that the top Chern class of  $Q$  vanishes). In this way we obtain a presentation for the cohomology ring of  $OG$ , and equations (1) and (2) become Giambelli-type formulas, which express the Schubert classes in terms of the special ones.

We present an extension of these results to the (small) quantum cohomology ring of  $OG$ , denoted  $QH^*(OG)$ . This is an algebra over  $\mathbb{Z}[q]$ , where  $q$  is a formal variable of degree  $2n$  (the classical formulas are recovered by setting  $q = 0$ ).

**Theorem 1.** *The map which sends  $\tilde{P}_\lambda(X)$  to  $\tau_\lambda$  for all  $\lambda \in \mathcal{D}_n$  and  $\tilde{P}_{n,n}(X)$  to  $q$  extends to a surjective ring homomorphism  $\Lambda'_n \rightarrow QH^*(OG)$  with kernel generated by the relations  $\tilde{P}_{i,i}(X) = 0$  for  $1 \leq i \leq n-1$ . The ring  $QH^*(OG)$  is presented as a quotient of the polynomial ring  $\mathbb{Z}[\tau_1, \dots, \tau_n, q]$  modulo the relations*

$$(3) \quad \tau_i^2 + 2 \sum_{k=1}^{i-1} (-1)^k \tau_{i+k} \tau_{i-k} + (-1)^i \tau_{2i} = 0$$

for all  $i < n$ , together with the quantum relation

$$(4) \quad \tau_n^2 = q$$

(it is understood that  $\tau_j = 0$  for  $j > n$ ). The Schubert class  $\tau_\lambda$  in this presentation is given by the Giambelli formulas

$$(5) \quad \tau_{i,j} = \tau_i \tau_j + 2 \sum_{k=1}^{j-1} (-1)^k \tau_{i+k} \tau_{j-k} + (-1)^j \tau_{i+j}$$

for  $i > j > 0$ , and

$$(6) \quad \tau_\lambda = \text{Pfaffian}[\tau_{\lambda_i, \lambda_j}]_{1 \leq i < j \leq r},$$

where quantum multiplication is employed throughout. In other words, classical Giambelli and quantum Giambelli coincide for  $OG$ .

We remark that the statements in Theorem 1 are direct analogues of the corresponding facts for  $SL_N$ -Grassmannians [Be]. However, these results stand in contrast to the case of the Lagrangian Grassmannian  $LG(n, 2n)$ , where quantum Giambelli does not coincide with classical Giambelli on  $LG(n, 2n)$  (see [KT2] for more details).

Our proof of Theorem 1 follows the scheme of [KT2], with two main differences. We require a Pfaffian identity for type  $D$  Schubert polynomials [KT2, §3.3], which

gives a key relation in the Chow group of a certain *orthogonal Quot scheme*  $OQ_d$ . The latter scheme compactifies the moduli space of degree  $d$  maps  $\mathbb{P}^1 \rightarrow OG$ ; however our definition of  $OQ_d$  differs from that in the Lagrangian case of [KT2], as the direct analogue of the Grothendieck Quot scheme [G1] here is not suitable for doing computations.

In  $QH^*(OG)$  there are formulas

$$\tau_\lambda \cdot \tau_\mu = \sum \langle \tau_\lambda, \tau_\mu, \tau_{\hat{\nu}} \rangle_d \tau_\nu q^d,$$

where the sum is over  $d \geq 0$  and strict partitions  $\nu$  with  $|\nu| = |\lambda| + |\mu| - 2nd$ , and  $\hat{\nu}$  is the dual partition of  $\nu$ , whose parts complement the parts of  $\nu$  in the set  $\{1, \dots, n\}$ . Each quantum structure constant  $\langle \tau_\lambda, \tau_\mu, \tau_{\hat{\nu}} \rangle_d$  is a genus zero Gromov–Witten invariant for  $OG$ , and is a nonnegative integer. We present explicit formulas and algorithms to compute these numbers. This includes a quantum Pieri rule, which extends the classical result of Hiller and Boe [HB]. As an application, we show that there is a direct identification between the 3-point, genus zero Gromov–Witten invariants on  $OG$  with corresponding ones for the Lagrangian Grassmannian  $LG(n-1, 2n-2)$  (Theorem 6).

This paper is organized as follows. In Section 2 we study the  $\tilde{P}$ -polynomials and type  $D$  Schubert polynomials, and prove a remarkable Pfaffian identity for the latter. The orthogonal Grassmannians are introduced in Section 3, which includes a proof of the presentation for  $QH^*(OG)$ . The proof of the quantum Giambelli formula (6) of Theorem 1 is done in Sections 4 and 5, by studying intersections on the orthogonal Quot scheme. In Section 6 we formulate a ‘quantum Schubert calculus’ for  $OG$ . Finally, the Appendix establishes an identity for  $\tilde{P}$ -polynomials which is used in [KT1].

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## 2. $\tilde{P}$ -POLYNOMIALS AND TYPE $D$ SCHUBERT POLYNOMIALS

**2.1. Basic definitions.** All the notational conventions used in this section follow [KT1] and [KT2]. In particular, for strict partitions  $\lambda$  and  $\mu$ , the difference  $\lambda \setminus \mu$  denotes the partition with parts given by the parts of  $\lambda$  which are not parts of  $\mu$ . A *composition* is a sequence of nonnegative integers with only finitely many nonzero parts. The  $\tilde{P}$ -polynomials make sense when indexed by any composition  $\nu$ , and satisfy Pfaffian relations

$$(7) \quad \tilde{P}_\nu(X) = \sum_{j=1}^{g-1} (-1)^{j-1} \tilde{P}_{\nu_j, \nu_g}(X) \cdot \tilde{P}_{\nu \setminus \{\nu_j, \nu_g\}}(X),$$

where  $g$  is an even number such that  $\nu_i = 0$  for  $i > g$ . Define also the  $\tilde{Q}$ -polynomial  $\tilde{Q}_\nu(X) = 2^\ell \tilde{P}_\nu(X)$  for each composition  $\nu$  with  $\ell$  nonzero parts. The  $\tilde{Q}$ -polynomials have integer coefficients, and span the ring  $\mathbb{Z}[X]^{S_n}$  of symmetric functions in  $n$  variables.

Let  $\widetilde{W}_n$  be the Weyl group for the root system  $D_n$ , whose elements are denoted as barred permutations. Recall that  $W_n$  is generated by the elements  $s_\square, s_1, \dots, s_{n-1}$ : for  $i > 0$ ,  $s_i$  is the transposition interchanging  $i$  and  $i+1$ , and  $s_\square$  is defined by

$$(u_1, u_2, u_3, \dots, u_n) s_\square = (\overline{u}_2, \overline{u}_1, u_3, \dots, u_n).$$

Let  $\widetilde{w}_0$  denote the element of maximal length in  $\widetilde{W}_n$ . For each  $\lambda \in \mathcal{D}_{n-1}$  we have a *maximal Grassmannian element*  $w_\lambda$  of  $\widetilde{W}_n$ , defined as in [KT1, §3.2].

Each generator  $s_i$  acts naturally on the polynomial ring  $A[X]$ , where  $A = \mathbb{Z}[\frac{1}{2}]$ ; for  $i > 0$ ,  $s_i$  interchanges  $x_i$  and  $x_{i+1}$ , while  $s_\square$  sends  $(x_1, x_2)$  to  $(-x_2, -x_1)$ ; all other variables remain fixed. There are divided difference operators  $\partial'_i$  and  $\partial_\square$  on  $A[X]$ ; for  $i > 0$  they are defined by

$$\partial'_i(f) = (f - s_i f) / (x_{i+1} - x_i)$$

while

$$\partial_\square(f) = (f - s_\square f) / (x_1 + x_2),$$

for all  $f \in A[X]$ . These give rise to operators  $\partial'_w : A[X] \rightarrow A[X]$  for each element  $w \in \widetilde{W}_n$ , as in [KT1, §3.2].

For all  $w \in \widetilde{W}_n$  we have a *type D Schubert polynomial*  $\mathfrak{D}_w(X) \in A[X]$  defined by

$$\mathfrak{D}_w(X) = (-1)^{n(n-1)/2} \partial'_{w^{-1}\widetilde{w}_0} \left( x_1^{n-1} x_2^{n-2} \cdots x_{n-1} \widetilde{P}_{n-1}(X) \right).$$

These type  $D$  polynomials were defined in [KT1, §3.3]; they agree with the orthogonal Schubert polynomials of [LP] up to a sign, which depends on the degree. The polynomial  $\mathfrak{D}_w(X)$  represents the Schubert class associated to  $w$  in the cohomology ring of the flag manifold  $SO_{2n}/B$ . Let us define  $\mathfrak{D}'_\lambda(X) = \mathfrak{D}_{w_\lambda s_\square}(X)$ . It follows from the definitions and [KT1, Theorem 7] that  $\mathfrak{D}'_\lambda(X) = \partial_\square(\widetilde{P}_\lambda(X))$ , for all non-zero partitions  $\lambda \in \mathcal{D}_{n-1}$ .

**2.2. A Pfaffian identity.** We require the identity in the following theorem for our proof of the quantum Giambelli formula for  $OG(n+1, 2n+2)$ .

**Theorem 2.** *Fix  $\lambda \in \mathcal{D}_n$  of length  $\ell \geq 3$ , and set  $r = 2\lfloor(\ell+1)/2\rfloor$ . Then*

$$(8) \quad \sum_{j=1}^{r-1} (-1)^{j-1} \mathfrak{D}'_{\lambda_j, \lambda_r}(X) \mathfrak{D}'_{\lambda \setminus \{\lambda_j, \lambda_r\}}(X) = 0.$$

*Proof.* We first observe, using the homogeneity of the two sides, that (8) is equivalent to the identity

$$(9) \quad \sum_{j=1}^{r-1} (-1)^{j-1} \partial_\square(\widetilde{Q}_{\lambda_j, \lambda_r}(X)) \cdot \partial_\square(\widetilde{Q}_{\lambda \setminus \{\lambda_j, \lambda_r\}}(X)) = 0$$

for  $\widetilde{Q}$ -polynomials, which should hold for  $\lambda$  and  $r$  as in the theorem.

Let  $X'' = (x_3, \dots, x_n)$  and define

$$m_{r,s}(x_1, x_2) = \begin{cases} x_1^r x_2^s + x_1^s x_2^r & \text{if } r \neq s, \\ x_1^r x_2^r & \text{if } r = s \end{cases}$$

to be the monomial symmetric function in  $x_1$  and  $x_2$ . For any partition  $\lambda$  and nonnegative integers  $a$  and  $b$ , let  $C(\lambda, a, b)$  denote the set of compositions  $\mu$  with  $\lambda_i - \mu_i \in \{0, 1, 2\}$  for all  $i$  and  $\lambda_i - \mu_i = 1$  (resp.  $\lambda_i - \mu_i = 2$ ) for exactly  $a$  (resp.  $b$ ) values of  $i$ .

**Proposition 1.** *For any nonzero strict partition  $\lambda$ , we have*

$$(10) \quad \partial_{\square}(\tilde{Q}_{\lambda}(X)) = 2 \sum_{\substack{0 \leq s \leq r \leq \ell \\ r+s \text{ even}}} m_{r,s}(x_1, x_2) \sum_{\substack{a+2b=r+s+1 \\ 0 \leq b \leq s}} \binom{a-1}{s-b} \sum_{\mu \in C(\lambda, a, b)} \tilde{Q}_{\mu}(X'').$$

*Proof.* Let  $X' = (x_2, \dots, x_n)$ . According to [KT2, Prop. 1], for any partition  $\lambda$  of length  $\ell$  (not necessarily strict), we have

$$(11) \quad \tilde{Q}_{\lambda}(X) = \sum_{k=0}^{\ell} x_1^k \sum_{\mu \in B(\lambda, k)} \tilde{Q}_{\mu}(X'),$$

where  $B(\lambda, k)$  is defined to be the set of all compositions  $\mu$  such that  $|\lambda| - |\mu| = k$  and  $\lambda_i - \mu_i \in \{0, 1\}$  for each  $i$ . By applying (11) twice we obtain

$$(12) \quad \tilde{Q}_{\lambda}(X) = \sum_{0 \leq s \leq r \leq \ell} m_{r,s}(x_1, x_2) \sum_{\substack{j+2k=r+s \\ 0 \leq k \leq s}} \binom{j}{s-k} \sum_{\mu \in C(\lambda, j, k)} \tilde{Q}_{\mu}(X'').$$

Suppose that  $r \geq s \geq 0$ . If  $r + s$  is even, then  $\partial_{\square}(m_{r,s}(x_1, x_2)) = 0$ . If  $r + s$  is odd, we have

$$\partial_{\square}(m_{r,s}(x_1, x_2)) = 2 \sum_{\substack{c+d=r+s-1 \\ c, d \geq s}} (-1)^{c-s} x_1^c x_2^d.$$

We now apply this to (12) and gather terms to obtain (10).  $\square$

**Example.** For all  $a, b$  with  $a > b \geq 0$ , we have

$$(13) \quad \begin{aligned} \partial_{\square}(\tilde{Q}_{a,b}(X)) &= 2 \left( \tilde{Q}_{a-1,b}(X'') + \tilde{Q}_{a,b-1}(X'') \right) \\ &\quad + 2x_1x_2 \left( \tilde{Q}_{a-2,b-1}(X'') + \tilde{Q}_{a-1,b-2}(X'') \right). \end{aligned}$$

In the equation (13) and later on we agree that  $\tilde{Q}_{\mu}(X'') = 0$  if any of the components of  $\mu$  are negative.

As in [KT2, §2.3], the rest of the argument can be expressed using only the partitions which index the polynomials involved. We thus begin by defining a commutative  $\mathbb{Z}$ -algebra  $\mathcal{B}$  with formal variables which represent these indices. The algebra  $\mathcal{B}$  is generated by symbols  $(a_1, a_2, \dots)$ , where the entries  $a_i$  are barred integers; each  $a_i$  can have up to two bars. The symbol  $(a_1, a_2, \dots)$  corresponds to the polynomial  $\tilde{Q}_{\mu}(X'')$ , where  $\mu$  is the composition with  $\mu_i$  equal to the integer  $a_i$  minus the number of bars over  $a_i$ . We identify  $(a, 0)$  with  $(a)$ .

Let  $\mu$  be a barred partition, that is, a partition in which bars have been added to some of the entries. For  $\ell(\mu) \geq 3$ , we impose the Pfaffian relation

$$(14) \quad (\mu) = \sum_{j=1}^{m-1} (-1)^{j-1} (\mu_j, \mu_m) \cdot (\mu \setminus \{\mu_j, \mu_m\}),$$

which corresponds to (7) for  $\nu = \mu$  (here  $m = 2\lfloor(\ell(\mu) + 1)/2\rfloor$ , as usual). Iterating this gives

$$(15) \quad (\mu) = \sum \epsilon(\mu, \nu) (\nu_1, \nu_2) \cdots (\nu_{m-1}, \nu_m),$$

where the sum is over all  $(m-1)(m-3)\cdots(1)$  ways to write the set  $\{\mu_1, \dots, \mu_m\}$  as a union of pairs  $\{\nu_1, \nu_2\} \cup \cdots \cup \{\nu_{m-1}, \nu_m\}$ , and where  $\epsilon(\mu, \nu)$  is the sign of

the permutation that takes  $(\mu_1, \dots, \mu_m)$  into  $(\nu_1, \dots, \nu_m)$ ; we adopt the convention that  $\nu_{2i-1} \geq \nu_{2i}$ .

We also define the square bracket symbols  $[a] = (\bar{a})$  and  $[a, b] = (\bar{a}, b) + (a, \bar{b})$ , where  $a$  and  $b$  are integers, each with up to one bar. For example, the right hand side of equation (13) corresponds to the sum  $2[a, b] + 2x_1x_2[\bar{a}, \bar{b}]$  in  $\mathcal{B}[x_1, x_2]$ . Finally, we impose the relations

$$(16) \quad [a, b] = (\bar{a})(b) - (a)(\bar{b})$$

for integers  $a, b$ , with up to one bar each; this agrees with a corresponding identity

$$\tilde{Q}_{a-1, b} + \tilde{Q}_{a, b-1} = \tilde{Q}_{a-1} \tilde{Q}_b - \tilde{Q}_a \tilde{Q}_{b-1}$$

of  $\tilde{Q}$ -polynomials.

Using these conventions and equations (10) and (13), we are reduced to showing that  $S_1 + S_2 = 0$ , where

$$S_1 = \sum_{\substack{a+2b=r+s+1 \\ 0 \leq b \leq s}} \binom{a-1}{s-b} \sum_{j=1}^{r-1} (-1)^{j-1} [\lambda_j, \lambda_r] \sum_{\mu \in C(\lambda \setminus \{\lambda_j, \lambda_r\}, a, b)} (\mu),$$

$$S_2 = \sum_{\substack{a'+2b'=r+s-1 \\ 0 \leq b' \leq s-1}} \binom{a'-1}{s-b'-1} \sum_{j=1}^{r-1} (-1)^{j-1} [\bar{\lambda}_j, \bar{\lambda}_r] \sum_{\mu \in C(\lambda \setminus \{\lambda_j, \lambda_r\}, a', b')} (\mu),$$

and  $r \geq s \geq 0$  are fixed integers with  $r+s$  even. The proof of this is rather similar to the proofs of Theorems 2 and 3 of [KT2], and we will point out only the main difference here.

We first apply (15) to expand the terms  $(\mu)$  in both  $S_1$  and  $S_2$ . The cancellation technique of [KT2, §2.3], notably, the identity

$$(17) \quad [a, b][c, d] - [a, c][b, d] + [a, d][b, c] = 0,$$

implies the vanishing of the sum of those summands in  $S_1$  which contain a pair with exactly one bar, or at least two pairs with exactly three bars. The remainder is a sum  $S'_1$  consisting of those summands in  $S_1$  with a unique pair containing three bars, and no pair with only one bar. In the same way, one checks the vanishing of the sum of those summands in  $S_2$  which contain a pair with exactly three bars, or at least two pairs with exactly one bar. There remains a sum  $S'_2$  consisting of those summands in  $S_2$  with a unique pair containing only one bar, and no pair with exactly three bars. Hence, it is enough to show that  $S'_1 + S'_2 = 0$ .

There is an obvious bijection between the summands in  $S'_1$  and  $S'_2$ , obtained by adding two bars to the unbarred part of the pair in  $S'_2$  which contains only one bar (note that the corresponding binomial coefficients agree, as  $(a, b) = (a', b' + 1)$  for these two summands). To prove that the sum of all corresponding terms is zero, it suffices to show that the expression

$$(18) \quad ([a, b][\bar{c}, \bar{d}] - [a, c][\bar{b}, \bar{d}] + [a, d][\bar{b}, \bar{c}]) + ([\bar{a}, \bar{b}][c, d] - [\bar{a}, \bar{c}][b, d] + [\bar{a}, \bar{d}][b, c])$$

vanishes identically in  $\mathcal{B}$  (we then apply this with  $a = \lambda_r$ , always). To check this, begin from the basic identities

$$(19) \quad [a, b][\bar{c}, \bar{d}] - [a, \bar{c}][b, \bar{d}] + [a, \bar{d}][b, \bar{c}] = 0$$

and

$$(20) \quad [\bar{a}, \bar{b}][c, d] - [\bar{a}, c][\bar{b}, d] + [\bar{a}, d][\bar{b}, c] = 0$$

which are easily shown using (16). Let  $\langle x, y \rangle = [\bar{x}, y] + [x, \bar{y}]$  and note that

$$(21) \quad \langle a, b \rangle \langle c, d \rangle - \langle a, c \rangle \langle b, d \rangle + \langle a, d \rangle \langle b, c \rangle = 0,$$

which is shown using  $\langle x, y \rangle = (\bar{a})(b) - (a)(\bar{b})$  (another consequence of (16)). The vanishing of (18) follows by combining (19), (20) and (21).  $\square$

### 3. ORTHOGONAL GRASSMANNIANS

**3.1. Schubert varieties and incidence loci.** Let  $V$  be a fixed  $(2n+2)$ -dimensional complex vector space equipped with a nondegenerate symmetric bilinear form on  $V$ . The principal object of study is the orthogonal Grassmannian  $OG(n+1, 2n+2)$  which is one component of the parameter space of  $(n+1)$ -dimensional isotropic subspaces of  $V$ . When  $n$  is fixed, we write  $OG$  for  $OG(n+1, 2n+2)$ . We have  $\dim_{\mathbb{C}} OG = n(n+1)/2$ . The identities in cohomology that we establish in this section remain valid if we work over an arbitrary base field, and use Chow rings in place of cohomology.

Let  $F_{\bullet}$  be a fixed complete isotropic flag of subspaces of  $V$ . By convention, then,  $OG$  parametrizes maximal isotropic spaces  $\Sigma \subset V$  such that  $\Sigma \cap F_{n+1}$  has even codimension in  $F_{n+1}$ . We define the alternative flag  $\tilde{F}_{\bullet}$  to be the flag  $F_1 \subset \cdots \subset F_n \subset \tilde{F}_{n+1}$ , where  $\tilde{F}_{n+1}$  is the unique maximal isotropic space containing  $F_n$  but not equal to  $F_{n+1}$ . We let

$$(22) \quad F_{\bullet}^{(i)} = \begin{cases} F_{\bullet} & \text{if } i \equiv (n+1) \pmod{2}, \\ \tilde{F}_{\bullet} & \text{otherwise.} \end{cases}$$

The Schubert varieties  $\mathfrak{X}_{\lambda} \subset OG$  are indexed by partitions  $\lambda \in \mathcal{D}_n$ . We record two ways to write the conditions which define the Schubert variety  $\mathfrak{X}_{\lambda}$ :

$$(23) \quad \mathfrak{X}_{\lambda} = \{ \Sigma \in OG \mid \text{rk}(\Sigma \rightarrow V/F_{n+1-\lambda_i}) \leq n+1-i, \ i=1, \dots, \ell(\lambda) \}$$

$$(24) \quad = \{ \Sigma \in OG \mid \text{rk}(\Sigma \rightarrow V/F_{n+1-\lambda_i}^{(i)\perp}) \leq n+1-i-\lambda_i, \ i=1, \dots, \ell(\lambda)+1 \}.$$

Let  $\tau_{\lambda}$  be the class of  $\mathfrak{X}_{\lambda}$  in  $H^*(OG, \mathbb{Z})$ . The classical Giambelli formula (6) for  $OG$  is equivalent to the following identity in  $H^*(OG, \mathbb{Z})$ :

$$(25) \quad \tau_{\lambda} = \sum_{j=1}^{r-1} (-1)^{j-1} \tau_{\lambda_j, \lambda_r} \cdot \tau_{\lambda \setminus \{\lambda_j, \lambda_r\}},$$

for  $r = 2\lceil(\ell(\lambda)+1)/2\rceil$ . Let  $\rho_n = (n, n-1, \dots, 1)$  and for  $\mu \in \mathcal{D}_n$ , denote by  $\hat{\mu} = \rho_n \setminus \mu$ , the dual partition. The Poincaré duality pairing on  $OG$  satisfies

$$\int_{OG} \tau_{\lambda} \tau_{\mu} = \delta_{\lambda \hat{\mu}}.$$

Given an isotropic space  $A \subset V$  of dimension  $n-k$  ( $k \geq 0$ ), the variety of maximal isotropic spaces containing  $A$  is a translate of the Schubert variety  $\mathfrak{X}_{n, n-1, \dots, k+1}$ . We have the following result on intersections of such varieties with the Schubert varieties  $\mathfrak{X}_{\lambda}$ ; this is analogous to a similar result in type  $C$  ([KT2, Prop. 3]).

**Proposition 2.** *Let  $k \geq 0$  and  $\lambda \in \mathcal{D}_n$ . Let  $A$  be an isotropic subspace of  $V$  of dimension  $n - k$ , and let  $Y \subset OG$  be the subvariety of maximal isotropic subspaces of  $V$  which contain  $A$ . Then  $\mathfrak{X}_\lambda \cap Y$  is a Schubert variety in  $Y \simeq OG(k+1, 2k+2)$ . Moreover, if  $\ell(\lambda) < k$  then the intersection, if nonempty, has positive dimension.*

*Proof.* As in [KT2], the intersection is defined by the attitude of  $\Sigma/A$  with respect to  $F'_\bullet$ , where  $F'_i = ((F_i + A) \cap A^\perp)/A$ . For the intersection to be a point would require at least  $k$  rank conditions, and hence  $\ell(\lambda) \geq k$ .  $\square$

The space  $OG(n-1, 2n+2)$  is the parameter space of lines on  $OG$ . For a nonempty partition  $\lambda$ , the variety of lines incident to  $\mathfrak{X}_\lambda$  is the Schubert variety  $\mathfrak{Y}_\lambda$ , consisting of those  $\Sigma' \in OG(n-1, 2n+2)$  such that

$$(26) \quad \text{rk}(\Sigma' \rightarrow V/F_{n+1-\lambda_i}^{(i)\perp}) \leq n+1-i-\lambda_i, \quad \text{for } i = 1, \dots, \ell+1.$$

The codimension of  $\mathfrak{Y}_\lambda$  is  $|\lambda|-1$ . Note that (i) the rank conditions (26) are identical to those in (24); (ii) the rank condition corresponding to  $i = \ell(\lambda) + 1$ , which was redundant in defining the Schubert varieties in  $OG$ , is necessary here.

**3.2. A Pfaffian identity on  $OG(n-1, 2n+2)$ .** Let  $F = F_{SO}(V)$  denote the variety of complete isotropic flags in  $V = \mathbb{C}^{2n+2}$ . There is a natural projection map from  $F$  to the orthogonal Grassmannian  $OG(n-1, 2n+2)$ , inducing an injective pullback morphism on cohomology. Introduce an extra variable  $x_{n+1}$  and let  $X^+ = (x_1, \dots, x_{n+1})$ . Referring to [KT1, §2.4 and Sect. 3], one checks that the Schubert class  $[\mathfrak{Y}_\lambda]$  in  $H^*(OG(n-1, 2n+2))$  pulls back to the class represented by  $\mathfrak{D}'_\lambda(X^+)$  in  $H^*(F)$ , for each  $\lambda \in \mathcal{D}_{n-1}$ . Here  $X^+$  corresponds to the vector of Chern roots of the dual to the tautological rank  $n+1$  vector bundle over  $F$ , ordered as in [KT1, Sect. 2]. Theorem 2 remains true with  $X^+$  in place of  $X$ , and gives

**Corollary 1.** *For every  $\lambda \in \mathcal{D}_n$  of length  $\ell \geq 3$  and  $r = 2\lfloor(\ell+1)/2\rfloor$  we have*

$$(27) \quad \sum_{j=1}^{r-1} (-1)^{j-1} [\mathfrak{Y}_{\lambda_j, \lambda_r}] [\mathfrak{Y}_{\lambda \setminus \{\lambda_j, \lambda_r\}}] = 0$$

in  $H^*(OG(n-1, 2n+2), \mathbb{Z})$ .

**3.3. Quantum relations and two-condition Giambelli.** Recall that in  $QH(OG)$ , the degree of  $q$  is

$$\int_{OG} c_1(T_{OG}) \cdot \tau_1 = 2n.$$

It follows, for degree reasons, that the relations in cohomology (3) and the quantum Giambelli formula for the two-condition Schubert classes (5) – which we know to hold classically – hold in  $QH(OG)$ . The degree  $2n$  quantum relation (4) follows from the elementary enumerative fact that there is a unique line on  $OG$  through a given point, incident to two general translates of  $\mathfrak{X}_n$ . Arguing as in [ST], now, we obtain a presentation of  $QH^*(OG)$  as a quotient of the polynomial ring  $\mathbb{Z}[\tau_1, \dots, \tau_n, q]$  modulo the relations (3) and (4) (see also [FP, Sect. 10]).

The proof of the more difficult quantum Giambelli formula (6) occupies Sections 4 and 5.



## 4. ORTHOGONAL QUOT SCHEMES

**4.1. Overview.** In the next two sections, we define the orthogonal Quot scheme and establish an identity in its Chow group, from which identity (6) in  $QH^*(OG)$  readily follows. We make use of type  $D$  degeneracy loci for isotropic morphisms of vector bundles [KT1] to define classes  $[W_\lambda(p)]_k$  ( $p \in \mathbb{P}^1$ ) of the appropriate dimension  $k := n(n+1)/2 + 2nd - |\lambda|$  in the Chow group of the orthogonal Quot scheme  $OQ_d$ , which compactifies the space of degree- $d$  maps  $\mathbb{P}^1 \rightarrow OG$ . Let  $p' \in \mathbb{P}^1$  be distinct from  $p$ , and denote by  $W'$  the degeneracy locus defined by a general translate of the fixed isotropic flag  $F_\bullet$ . We produce a Pfaffian formula analogous to (25):

$$(28) \quad [W_\lambda(p)]_k = \sum_{j=1}^{r-1} (-1)^{j-1} [W_{\lambda_j, \lambda_r}(p) \cap W'_{\lambda \setminus \{\lambda_j, \lambda_r\}}(p') ]_k,$$

for any  $\lambda \in \mathcal{D}_n$  with  $\ell(\lambda) \geq 3$  and  $r = 2\lfloor(\ell(\lambda) + 1)/2\rfloor$ .

As in [KT2], we need the cycles in (28) to remain rationally equivalent under further intersection with some (general translate of)  $W_\mu(p'')$ , for  $\mu \in \mathcal{D}_n$  and  $p'' \in \mathbb{P}^1$  distinct from  $p, p'$ . Also, as in loc. cit., we accomplish this by working on a modification  $OQ_d(p'')$ , on which the evaluation-at- $p''$  map is globally defined, and employing refined intersection operation from  $OG$ .

The rational equivalences that we produce — (28) and a similar equivalence on  $OQ_d(p'')$  — come by combining equivalences of the following types: (i) the classical Pfaffian formulas on  $OG$  (25); (ii) the Pfaffian identities (27) on  $OG(n-1, 2n+2)$ ; (iii) rational equivalences  $\{p\} \sim \{p'\}$  on  $\mathbb{P}^1$ . Indeed, the essence of (iii) is that we can replace  $p'$  with  $p$  in (28); the intersection  $W_{\lambda_j, \lambda_r}(p) \cap W'_{\lambda \setminus \{\lambda_j, \lambda_r\}}(p)$  now has  $k$ -dimension components supported in the boundary of the Quot scheme. The cancellation of these contributions in the Chow group is precisely equation (27).

**4.2. Definition of  $OQ_d$ .** Let  $V$  be a complex vector space  $V$  of dimension  $N = r + s$  and fix  $d \geq 0$ . Following Grothendieck [G1], there is a smooth projective variety  $Q_d$ , the *Quot scheme*, which parametrizes flat families of quotient sheaves of  $\mathcal{O}_{\mathbb{P}^1} \otimes V$  with Hilbert polynomial  $p(t) = st + s + d$ . This variety compactifies the space of parametrized degree- $d$  maps from  $\mathbb{P}^1$  to the Grassmannian of  $r$ -dimensional subspaces of  $V$ . On  $\mathbb{P}^1 \times Q_d$  there is a universal exact sequence of sheaves

$$(29) \quad 0 \longrightarrow \mathcal{E} \longrightarrow \mathcal{O} \otimes V \longrightarrow \mathcal{Q} \longrightarrow 0$$

with  $\mathcal{E}$  locally free of rank  $r$ . From now on, we fix  $V$  as in Section 3 and  $r = s = n+1$ .

**Definition 1.** Let  $d$  be a nonnegative integer. The *isotropic locus*  $Q_d^{\text{iso}}$  is the closed subscheme of  $Q_d$  which is defined by the vanishing of the composite

$$\mathcal{E} \longrightarrow \mathcal{O}_{\mathbb{P}^1} \otimes V \xrightarrow{\alpha} \mathcal{O}_{\mathbb{P}^1} \otimes V^* \longrightarrow \mathcal{E}^*$$

where  $\alpha$  is the isomorphism defined by the given bilinear form on  $V$ .

The embedding of  $OG$  in the Grassmannian  $G(n+1, 2n+2)$  of  $(n+1)$ -dimensional subspaces of  $V$  is degree-doubling, that is, in the sheaf sequence (29) corresponding to degree- $d$  maps  $\mathbb{P}^1 \rightarrow OG$ , the sheaf  $\mathcal{Q}$  has degree  $2d$ . For any  $d$ ,  $Q_{2d}^{\text{iso}}$  contains an open subscheme isomorphic to the moduli space  $M_{0,3}(OG, d)$ :

**Definition 2.** Let  $d$  be a nonnegative integer. Then  $OM_d$  is the open subscheme of  $Q_{2d}^{\text{iso}}$  defined by the conditions (i)  $\mathcal{E} \rightarrow \mathcal{O}_{\mathbb{P}^1} \otimes V$  has everywhere full rank; (ii)

the image of  $\mathcal{E} \rightarrow \mathcal{O}_{\mathbb{P}^1} \otimes V$  at any point has intersection with  $F_{n+1}$  of dimension congruent to  $(n+1) \bmod 2$ .

Unfortunately,  $Q_{2d}^{\text{iso}}$  generally has components of dimension larger than the dimension of  $OM_d$ . The remedy is to throw away any point of (29) where the rank of  $\mathcal{E} \rightarrow \mathcal{O} \otimes V$  drops by just 1 at some point of  $\mathbb{P}^1$ . We can do this, and still be left with a closed subscheme of  $Q_{2d}^{\text{iso}}$ , because in any degeneration situation in which the rank of  $\mathcal{E} \rightarrow \mathcal{O} \otimes V$  drops from full to less than full, the drop is by at least 2.

**Definition 3.** For  $d \in (1/2)\mathbb{Z}$ , the *orthogonal Quot scheme*  $OQ_d$  is the subset of  $Q_{2d}^{\text{iso}}$  consisting of points whose sheaf sequence (29) satisfies  $\text{rk}(\mathcal{E}_p \rightarrow V) \neq n$  for all  $p \in \mathbb{P}^1$ , and such that where it has full rank, the image has intersection with  $F_{n+1}$  of even codimension in  $F_{n+1}$ . This subset, evidently constructible and closed by virtue of Proposition 3, below, is given the reduced scheme structure.

**Lemma 1.** Let  $\psi: C_0 \rightarrow G(n+1, 2n+2)$  be a morphism, with  $C_0 \cong \mathbb{P}^1$ , and let  $C$  be a tree of  $\mathbb{P}^1$ 's containing  $C_0$  and  $\varphi: C \rightarrow G(n+1, 2n+2)$  a map which restricts to  $\psi$  on  $C_0$ . Let

$$\tilde{C} := C_1 \cup C_2 \cup \cdots \cup C_m$$

( $m \geq 1$ ) denote a chain of components in  $C$ , with  $C_i \neq C_0$  for all  $i \geq 1$ , and assume  $C_1$  meets  $C_0$  at the point  $p$  and  $C_i$  is collapsed by  $\varphi$  for all  $i$  with  $1 \leq i \leq m-1$ . Let  $\pi: C \rightarrow C_0$  denote the morphism which collapses all components of  $C$  except  $C_0$ . Let

$$0 \rightarrow \mathcal{E}_0 \rightarrow \mathcal{O} \otimes V \rightarrow \mathcal{Q}_0 \rightarrow 0$$

denote the pullback of the universal sequence via  $\psi$ , and let

$$0 \rightarrow \mathcal{E} \rightarrow \mathcal{O} \otimes V \rightarrow \mathcal{Q} \rightarrow 0$$

denote the pullback of the universal sequence via  $\varphi$  (so that  $\mathcal{E}|_{C_0} \simeq \mathcal{E}_0$ ). Assume the restriction of  $\mathcal{E}$  to  $C_m$  splits as

$$\mathcal{O}(-b_1) \oplus \cdots \oplus \mathcal{O}(-b_j) \oplus \mathcal{O}^{n+1-j}$$

with  $b_1, \dots, b_j \geq 1$ . Then the morphism  $\pi_*\mathcal{E} \rightarrow \pi_*(\mathcal{O} \otimes V) = \mathcal{O} \otimes V$  factors through  $\mathcal{E}_0$ , and the cokernel of  $\pi_*\mathcal{E} \rightarrow \mathcal{E}_0$  is a torsion sheaf whose fiber at  $p$  has dimension at least  $j$ .

*Proof.* We may choose  $n-j$  independent sections  $s_1, \dots, s_{n-j}$  of  $\mathcal{E}|_{C_m}$ . These extend uniquely to  $n-j$  independent sections of  $\mathcal{E}|_{\tilde{C}}$ , and hence span an  $(n-j)$ -dimensional subspace  $\Sigma$  of the fiber of  $\mathcal{E}$  at the point  $p$ . The map  $(\pi_*\mathcal{E})_p \rightarrow (\mathcal{E}_0)_p$  on fibers at  $p$  has image contained in  $\Sigma$ . Hence the dimension of the fiber at  $p$  of the cokernel of  $\pi_*\mathcal{E} \rightarrow \mathcal{E}_0$  is at least  $j$ .  $\square$

**Proposition 3.** For any  $d \in (1/2)\mathbb{Z}$ , the subset  $OQ_d \subset Q_{2d}^{\text{iso}}$  is closed under specialization.

*Proof.* Suppose  $x_1 \in OQ_d$  specializes to  $x_0 \in Q_{2d}$ . Then there is a discrete valuation ring  $R$  and a morphism  $\varphi: \text{Spec } R \rightarrow Q_{2d}$  such that the generic point maps to  $x_1$  and the special point maps to  $x_0$ .

Denote the fraction field of  $R$  by  $K$  and the residue field by  $k$ . It suffices to consider the case where  $x_0$  is a closed point, hence  $k = \mathbb{C}$  is algebraically closed. We show that given the exact sequence of coherent sheaves at the generic point

$$(30) \quad 0 \rightarrow \mathcal{E} \rightarrow \mathcal{O} \otimes V \rightarrow \mathcal{Q} \rightarrow 0$$

on  $\mathbb{P}_K^1$ , we can reconstruct the map  $\varphi$  and hence the sheaf sequence at the special point (possibly replacing  $R$  by its integral closure in a finite extension of  $K$ ). Then, we note that the torsion of the quotient sheaf at the special point cannot have rank 1 at any point of  $\mathbb{P}_k^1$ .

Let the sequence (30) be given. The support of  $\mathcal{Q}^{\text{tors}}$  specializes to a well-defined closed subset  $Z \subset \mathbb{P}_k^1$ ; we let  $Y = \text{Supp}(\mathcal{Q}^{\text{tors}}) \cup Z$ . Now consider:

$$(31) \quad 0 \rightarrow \mathcal{E}' \rightarrow \mathcal{O} \otimes V \rightarrow \mathcal{Q}/\mathcal{Q}^{\text{tors}} \rightarrow 0$$

on  $\mathbb{P}_K^1$ . This corresponds to a morphism  $\mathbb{P}_K^1 \rightarrow OG$  (the actual map to the orthogonal Grassmannian underlying the sheaf sequence (30)). By replacing  $K$  by a finite extension and  $R$  by its integral closure in the extension, if necessary, then there exists, by semistable reduction, a modification

$$\pi: S \rightarrow \mathbb{P}_R^1$$

with exceptional divisor a tree of  $\mathbb{P}^1$ 's, and a morphism  $S \rightarrow OG$ , such that  $\pi$  restricts to the given morphism  $\mathbb{P}_K^1 \rightarrow OG$ . We consider the pullback of the universal exact sequence

$$0 \rightarrow \tilde{\mathcal{E}} \rightarrow \mathcal{O} \otimes V \rightarrow \tilde{\mathcal{Q}} \rightarrow 0$$

on  $S$ . Pushing forward the map  $\mathcal{E} \rightarrow \mathcal{O} \otimes V$  by  $\pi$  yields an exact sequence

$$(32) \quad 0 \rightarrow \pi_* \tilde{\mathcal{E}} \rightarrow \mathcal{O} \otimes V \rightarrow \mathcal{C} \rightarrow 0$$

The cokernel  $\mathcal{C}$ , being a subsheaf of  $\pi_* \tilde{\mathcal{Q}}$ , is torsion-free over  $\text{Spec } R$ , and hence flat: (32) corresponds to the map from  $\text{Spec } R$  to the (possibly smaller degree) Quot scheme determined by (31).

We extend (30) to all of  $\mathbb{P}_R^1$  by patching and pushing forward. The sequences (30) on  $\mathbb{P}_K^1$  and (32) on  $\mathbb{P}_R^1 \setminus Y$  patch to give the sequence

$$0 \rightarrow \hat{\mathcal{E}} \rightarrow \mathcal{O} \otimes V \rightarrow \hat{\mathcal{Q}} \rightarrow 0$$

on  $\mathbb{P}_R^1 \setminus Z$ . Pushing forward via  $i: \mathbb{P}_R^1 \setminus Z \rightarrow \mathbb{P}_R^1$  gives

$$(33) \quad 0 \rightarrow i_* \hat{\mathcal{E}} \rightarrow \mathcal{O} \otimes V \rightarrow \mathcal{D} \rightarrow 0,$$

(where  $\mathcal{D}$  is the indicated cokernel), flat over  $\mathbb{P}_R^1$  since  $i_* \hat{\mathcal{E}}$  is locally free. This gives the morphism  $\varphi: \text{Spec } R \rightarrow Q_{2d}$  that we started with.

We now consider the restriction of (33) to the special fiber:

$$0 \rightarrow (i_* \hat{\mathcal{E}})_k \rightarrow \mathcal{O} \otimes V \rightarrow \mathcal{D}_k \rightarrow 0,$$

and verify it satisfies the rank conditions. By semicontinuity, the dimension of the fiber of  $\mathcal{D}_k^{\text{tors}}$  is  $\geq 2$  at every point of  $Z$ . Suppose  $p$  is a point in  $\mathbb{P}_k^1 \setminus Z$ . Then  $\mathcal{D}_k$ , on a neighborhood of  $p$ , is isomorphic to  $\mathcal{C}_k := \mathcal{C} \otimes_R k$ , so it suffices to show every nonzero fiber of  $\mathcal{C}_k^{\text{tors}}$  has dimension  $\geq 2$ . Letting  $(\ )_k$  denote restriction to the special fiber, we have:  $(\pi_* \tilde{\mathcal{E}})_k \rightarrow \mathcal{O} \otimes V$  factors through  $(\pi_k)_*(\tilde{\mathcal{E}}_k) \rightarrow \mathcal{O} \otimes V$ , which in turn factors through a vector subbundle  $[(\pi_k)_*(\tilde{\mathcal{E}}_k)]'$  of  $\mathcal{O} \otimes V$  (the pullback of the universal subbundle by the actual map  $\mathbb{P}_k^1 \rightarrow OG$  at the special fiber), and  $\dim \mathcal{C}_k^{\text{tors}} \otimes \mathcal{O}_p$  is greater than or equal to the dimension of the fiber at  $p$  of  $[(\pi_k)_*(\tilde{\mathcal{E}}_k)]'/(\pi_k)_*(\tilde{\mathcal{E}}_k)$ . But now we are in the situation of Lemma 1: this dimension is at least the number of negative line bundles in the direct sum decomposition of the pullback of the universal subbundle of  $OG$  under some positive-degree map from a copy of  $\mathbb{P}_k^1$  to  $OG$ , and this must be at least 2.  $\square$

**4.3. Degeneracy loci.** Degeneracy loci for vector bundles in type  $D$  were defined using rank inequalities in [KT1].

**Definition 4.** The degeneracy loci  $W_\lambda$  and  $W_\lambda(p)$  ( $\lambda \in \mathcal{D}_n$ , with  $\ell = \ell(\lambda)$ , and  $p \in \mathbb{P}^1$ ) are the following subschemes of  $\mathbb{P}^1 \times OQ_d$ :

$$W_\lambda = \{x \in \mathbb{P}^1 \times OQ_d \mid \text{rk}(\mathcal{E} \rightarrow \mathcal{O} \otimes V/F_{n+1-\lambda_i}^{(i)\perp})_x \leq n+1-i-\lambda_i, i=1, \dots, \ell+1\},$$

$$W_\lambda(p) = W_\lambda \cap (\{p\} \times OQ_d)$$

Define also

$$h(n, d) = n(n+1)/2 + 2nd,$$

which is the dimension of the orthogonal Quot scheme  $OQ_d$  when  $d$  is a nonnegative integer. As in types  $A$  and  $C$ , we establish a Moving Lemma, and deduce from this that all three-term Gromov–Witten invariants on  $OG$  count points in intersections of degeneracy loci on  $OQ_d$ .

**Moving Lemma.** *Let  $k$  be a positive integer, and let  $p_1, \dots, p_k$  be distinct points on  $\mathbb{P}^1$ . Let  $\lambda^1, \dots, \lambda^k$  be partitions in  $\mathcal{D}_n$ , and let us take the degeneracy loci  $W_{\lambda^1}(p_1), \dots, W_{\lambda^k}(p_k)$  to be defined by isotropic flags of vector spaces in general position. Consider the intersection*

$$Z := W_{\lambda^1}(p_1) \cap \dots \cap W_{\lambda^k}(p_k).$$

*Then  $Z$  has dimension at most  $h(n, d) - \sum_{i=1}^k |\lambda^i|$ . Moreover,  $Z \cap OM_d$  is either empty or generically reduced and of pure dimension  $h(n, d) - \sum_i |\lambda^i|$ ; also,  $Z \cap (OQ_d \setminus OM_d)$  has dimension at most  $h(n, d) - \sum_{i=1}^k |\lambda^i| - 1$ .*

The following are immediate consequences of the Moving Lemma.

**Corollary 2.** *Let  $p, p', p'' \in \mathbb{P}^1$  be distinct points. Suppose  $\lambda, \mu, \nu \in \mathcal{D}_n$  satisfy  $|\lambda| + |\mu| + |\nu| = h(n, d)$ . With degeneracy loci defined with respect to isotropic flags in general position, the intersection  $W_\lambda(p) \cap W_\mu(p') \cap W_\nu(p'')$  consists of finitely many reduced points, all contained in  $OM_d$ , and the corresponding Gromov–Witten invariant on  $OG$  satisfies*

$$\langle \tau_\lambda, \tau_\mu, \tau_\nu \rangle_d = \#(W_\lambda(p) \cap W_\mu(p') \cap W_\nu(p'')).$$

**Corollary 3.** *If  $p$  and  $p'$  are distinct points of  $\mathbb{P}^1$  and if  $|\lambda| + |\mu| = h(n, d)$ , then  $W_\lambda(p) \cap W'_\mu(p') = \emptyset$  for a general translate  $W'_\mu(p')$  of  $W_\mu(p')$ .*

The Moving Lemma itself is proved using an analysis of the boundary of  $OQ_d$ . As in [Be] and [KT2], this boundary is covered by Grassmann bundles over smaller Quot schemes.

**Definition 5.** For  $c \in (1/2)\mathbb{Z}$ , with  $c \geq 1$ , we let  $\pi_c: G_c \rightarrow \mathbb{P}^1 \times OQ_{d-c}$  denote the Grassmann bundle of  $(2c)$ -dimensional quotients of the universal bundle  $\mathcal{E}$  on  $\mathbb{P}^1 \times OQ_{d-c}$ . The morphism  $\beta_c: G_c \rightarrow OQ_d$  is given by the modification of the sheaf sequence  $\mathcal{E} \rightarrow \mathcal{O} \otimes V$  along the graph of the projection to  $\mathbb{P}^1$ . Precisely: let  $\mathcal{F}_c$  denote the universal quotient bundle on  $G_c$ ; if  $i_c$  denotes the morphism  $G_c \rightarrow \mathbb{P}^1 \times G_c$  given by  $(\text{pr}_1 \circ \pi_c, \text{id})$ , then  $\mathcal{E}_c$  is defined as the kernel of the natural morphism of sheaves  $(\text{id} \times (\text{pr}_2 \circ \pi_c))^* \mathcal{E} \rightarrow i_{c*} \pi_c^* \mathcal{E}$  composed with  $i_{c*}$  applied to the morphism to  $\mathcal{F}_c$ .

We also consider degeneracy loci with respect to the bundles  $\mathcal{E}_c$ .

**Definition 6.** We define  $\widehat{W}_{c,\lambda}$  and  $\widehat{W}_{c,\lambda}(p)$  to be the following subschemes of  $G_c$ :

$$\begin{aligned}\widehat{W}_{c,\lambda} &= \{x \in G_c \mid \text{rk}(\mathcal{E}_c \rightarrow \mathcal{O} \otimes V/F_{n+1-\lambda_i}^\perp)_x \leq n+1-i-\lambda_i, i=1, \dots, \ell+1\}, \\ \widehat{W}_{c,\lambda}(p) &= \widehat{W}_{c,\lambda}(p) \cap \pi_c^{-1}(\{p\} \times OQ_{d-c})\end{aligned}$$

**4.4. Boundary structure of  $OQ_d$ .** The boundary of  $OQ_d$  is made up of points where  $\mathcal{E} \rightarrow \mathcal{O} \otimes V$  drops rank at one or more points of  $\mathbb{P}^1$ ; note that wherever it drops rank, it does so by at least two (by our definition of the Quot scheme).

**Theorem 3.** *For any  $d \in (1/2)\mathbb{Z}$ , with  $d \geq 0$  and  $d \neq 1/2$ , we have*

$$\dim OQ_d = \begin{cases} h(n, d) & \text{if } d \in \mathbb{Z}, \\ h(n, d) - 5 & \text{otherwise.} \end{cases}$$

Furthermore, for  $c \in (1/2)\mathbb{Z}$ ,  $c \geq 1$ , the map  $\beta_c: G_c \rightarrow OQ_d$  satisfies

- (i) Given  $x \in OQ_d$ , if  $\mathcal{Q}_x$  has rank at least  $n+1+c$  at  $p \in \mathbb{P}^1$ , then  $x$  lies in the image of  $\beta_c$ .
- (ii) The restriction of  $\beta_c$  to  $\pi_c^{-1}(\mathbb{P}^1 \times OM_{d-c})$  is a locally closed immersion.
- (iii) We have

$$\beta_c^{-1}(W_\lambda(p)) = \pi_c^{-1}(\mathbb{P}^1 \times W_\lambda(p)) \cup \widehat{W}_{c,\lambda}(p)$$

where on the right,  $W_\lambda(p)$  denotes the degeneracy locus in  $OQ_{d-c}$ .

The proof of Theorem 3, as well as that of the Moving Lemma (which uses Theorem 3), is similar to that of the corresponding results in [Be] and [KT2]. Details are left to the reader.

## 5. INTERSECTION THEORY ON $OQ_d$

The Chow group of algebraic cycles modulo rational equivalence of a scheme  $\mathfrak{X}$  is denoted  $A_*\mathfrak{X}$ . We also employ the following notation.

**Definition 7.** Let  $p$  denote a point of  $\mathbb{P}^1$ .

- (i)  $\text{ev}^p: OM_d \rightarrow OG$  is the evaluation at  $p$  morphism;
- (ii)  $\tau(p): OQ_d(p) \rightarrow OQ_d$  is the projection from the *relative orthogonal Grassmannian*  $OQ_d(p) := OG_{n+1}(\mathcal{Q}|_{\{p\} \times OQ_d})$ , that is, the closed subscheme of the Grassmannian  $\text{Grass}_{n+1}$  of rank- $(n+1)$  quotients [G2] of the indicated coherent sheaf, defined by isotropicity and parity conditions on the kernel of the composite morphism from  $\mathcal{O}_{\text{Grass}_{n+1}} \otimes V$  to the universal quotient bundle of the relative Grassmannian;
- (iii)  $\text{ev}(p): OQ_d(p) \rightarrow LG$  is the evaluation morphism on the relative orthogonal Grassmannian;
- (iv)  $\text{ev}_c^p: \pi_c^{-1}(\{p\} \times OM_{d-c}) \rightarrow OG(n+1-2c, 2n+2)$  is evaluation at  $p$ .

**Lemma 2** ([KT2]). *Let  $T$  be a projective variety which is a homogenous space for an algebraic group  $G$ . Let  $\mathfrak{X}$  be a scheme, equipped with an action of the group  $G$ . Let  $U$  be a  $G$ -invariant integral open subscheme of  $\mathfrak{X}$ , and let  $f: U \rightarrow T$  be a  $G$ -equivariant morphism. Then the map on algebraic cycles*

$$[V] \mapsto [f^{-1}(V)]$$

*respects rational equivalence, and hence induces a map on Chow groups  $A_*T \rightarrow A_*\mathfrak{X}$ .*

**Corollary 4.** *Fix distinct points  $p, p' \in \mathbb{P}^1$ . For any  $\lambda \in \mathcal{D}_n$  of length  $\ell = \ell(\lambda) \geq 3$ , the following cycles are rationally equivalent to zero on  $OQ_d$  and on  $OQ_d(p')$ :*

- (i)  $[(\text{ev}^p)^{-1}(\mathfrak{X}_\lambda)^-] - \sum_{j=1}^{r-1} (-1)^{j-1} [(\text{ev}^p)^{-1}(\mathfrak{X}_{\lambda_j, \lambda_r} \cap \mathfrak{X}'_{\lambda \setminus \{\lambda_j, \lambda_r\}})^-]$ .
- (ii)  $\sum_{j=1}^{r-1} (-1)^{j-1} [\beta_1((\text{ev}_1^p)^{-1}(\mathfrak{Y}_{\lambda_j, \lambda_r} \cap \mathfrak{Y}'_{\lambda \setminus \{\lambda_j, \lambda_r\}}))^-]$ .

Here, and in the sequel,  $\mathfrak{X}'_\mu$  and  $\mathfrak{Y}'_\mu$  denote the translates of  $\mathfrak{X}_\mu$  and  $\mathfrak{Y}_\mu$  by a general element of the group  $SO_{2n+2}$ .

As is standard, for any closed subscheme  $Z$  of a scheme  $\mathfrak{X}$ ,  $[Z] \in A_*\mathfrak{X}$  denotes the class in the Chow group of the cycle associated to  $Z$ ; we let  $[Z]_k$  be the dimension  $k$  component of  $[Z]$ .

**Proposition 4.** (a) *Suppose  $\lambda$  and  $\mu$  are in  $\mathcal{D}_n$ , and let  $p, p', p''$  be distinct points in  $\mathbb{P}^1$ . Assume that  $\ell(\lambda)$  equals 1 or 2 and  $\mu$  has even length  $\geq 2$ . Let  $k = h(n, d) - |\lambda| - |\mu|$ . Then*

$$[W_\lambda(p) \cap W'_\mu(p')]_k = [W_\lambda(p) \cap W'_\mu(p)]_k \text{ in } A_*OQ_d,$$

$$[\tau(p'')^{-1}(W_\lambda(p) \cap W'_\mu(p'))]_k = [\tau(p'')^{-1}(W_\lambda(p) \cap W'_\mu(p))]_k \text{ in } A_*OQ_d(p''),$$

where  $W'_\mu(p)$  denotes degeneracy locus with respect to a general translate of the isotropic flag of subspaces.

(b) *In  $A_*OQ_d$ , we have*

$$(34) \quad [W_\lambda(p) \cap W'_\mu(p)]_k = [(\text{ev}^p)^{-1}(\mathfrak{X}_\lambda \cap \mathfrak{X}'_\mu)^-] + [\beta_1((\text{ev}_1^p)^{-1}(\mathfrak{Y}_\lambda \cap \mathfrak{Y}'_\mu))^-]$$

and in  $A_*OQ_d(p'')$ , the cycle class  $[\tau(p'')^{-1}(W_\lambda(p) \cap W'_\mu(p))]_k$  is equal to the right-hand side of (34).

*Proof.* By a dimension count which uses Proposition 2, the irreducible components of dimension  $k$  in  $W_\lambda(p) \cap W'_\mu(p)$  are the ones indicated on the right-hand side of (34). As in [KT2], now, the result follows from the rational equivalence  $\{p\} \sim \{p'\}$  on  $\mathbb{P}^1$ , pulled back to  $Y := (\mathbb{P}^1 \times W_\lambda(p)) \cap W'_\mu$  (or further pulled back to  $OQ_d(p'')$ ), once we know that the irreducible components of  $W_\lambda(p) \cap W'_\mu(p)$  of dimension  $k$  are generically smooth and in the closure of the complement of the fiber of  $Y$  over  $p$  (and that this remains true after pullback by  $\tau(p'')$ ). The ‘in the closure’ portion of the claim follows by an argument involving the Kontsevich compactification of  $OM_d$ , as in op. cit. Generic smoothness is clear for  $(\text{ev}^p)^{-1}(\mathfrak{X}_\lambda \cap \mathfrak{X}'_\mu)$ . Transversality of a general translate also establishes generic smoothness for the other component, once we notice that any point  $x$  in a dense open subset of  $\beta_1((\text{ev}_1^p)^{-1}(\mathfrak{Y}_\lambda \cap \mathfrak{Y}'_\mu))$  has the property that for any local  $\mathbb{C}$ -algebra  $R$  with residue field  $R/\mathfrak{m} \simeq \mathbb{C}$  and any  $\psi: R \rightarrow W_\lambda(p) \cap W'_\mu(p)$  with closed point mapping to  $x$ , the map  $\psi$  factors through the restriction of  $\beta_1$  to  $\pi_1^{-1}(\{p\} \times OM_{d-1})$ .

This assertion follows from elementary linear algebra, but because of some tricky cases involving parity, we give a sketch of the argument. Fix a basis  $\{v_i\}$  of  $V$  so that the symmetric form is given by  $\langle v_i, v_j \rangle = \delta_{i+j, 2n+3}$ . Without loss of generality, the two general-position flags are

$$F_i = \text{Span}(v_1, \dots, v_i)$$

and

$$G_i^{(0)} = \text{Span}(v_{2n+3-i}, \dots, v_{2n+2}),$$

where the latter specifies  $G_{n+1}$  or  $\tilde{G}_{n+1}$  equal to  $\text{Span}(v_{n+2}, \dots, v_{2n+2})$  according to parity; see (22). We will show that the condition on  $x$  holds whenever  $x$  is in

the preimage of the intersection of the Schubert *cells* corresponding to  $\mathfrak{Y}_\lambda$  and  $\mathfrak{Y}'_\mu$ , subject to the further condition that the line on  $OG$  parametrized by the point in  $OG(n-1, 2n+2)$  is incident to  $\mathfrak{X}_\lambda$  and  $\mathfrak{X}'_\mu$  at two *distinct* points.

Consider first the case  $\ell(\lambda) = 1$ . Let  $x$  correspond to  $(n-1)$ -dimensional  $A \subset V$  at the point  $p$ . The condition to be in the Schubert cell for  $\mathfrak{Y}_\lambda$  implies that  $A \cap F_n^\perp = 0$ , so  $\text{rk}(A \rightarrow V/F_{n+1}^{(i)}) = n-1$  for any  $i$ . By Definition 4, the sheaf sequence corresponding to  $\psi$  satisfies the rank condition

$$(35) \quad \text{rk}(\mathcal{E} \rightarrow \mathcal{O} \otimes V/F_{n+1}^{(0)}) \leq n-1.$$

Turning to the conditions coming from  $\mu$ , we have  $\text{rk}(A \cap G_{n+1}^{(1)}) = n-\ell$ , from membership in the Schubert cell. Suppose  $n$  is even, so that  $F_{n+1}^{(0)} = \tilde{F}_{n+1}$  and  $G^{(1)} = G_{n+1}$  are disjoint. Note that in this case Definition 4 imposes the condition

$$(36) \quad \text{rk}(\mathcal{E} \rightarrow \mathcal{O} \otimes V/G_{n+1}) \leq n-\ell.$$

The following basic argument is used to show that  $\psi$  factors through the restriction of  $\beta_1$  to  $\pi_1^{-1}(\{p\} \times OM_{d-1})$ . We have a sheaf sequence on  $\mathbb{P}_R^1$ ; after restricting to  $\mathbb{A}_R^1$  the sheaf  $\mathcal{E}$  can be trivialized, so let us assume the map to  $\mathcal{O} \otimes V$  is given by the  $(2n+2) \times (n+1)$  matrix  $L$  with values in  $R[t]$ , with coordinates assigned so the top half of the matrix corresponds to  $\tilde{F}_{n+1}$  and the bottom half corresponds to  $G_{n+1}$ . We may assume  $t=0$  defines  $p$ , and also assume that mod  $\mathfrak{m}$ , the rightmost two columns of  $L$  vanish at  $t=0$ . We localize at  $\mathfrak{m} + tR[t]$ . It suffices to show that conditions (35) and (36) imply, after column operations, that the rightmost two columns of  $L$  have values in the ideal generated by  $t$ . We have  $\text{rk}(A \rightarrow V/F_{n+1}) = n-1$ , that is, some  $(n-1) \times (n-1)$  minor in the bottom half of  $L$  has full rank. Now by performing column operations and invoking (35) we have all the entries in the bottom right  $(n+1) \times 2$  submatrix of  $L$  lying in the ideal  $(t)$ . Let  $L'$  denote the top right  $(n+1) \times 2$  submatrix of  $L$ . The remaining isotropicity and rank conditions amount to  $UL' = 0 \bmod t$  for some matrix  $U$ , whose entries are polynomial functions of the entries of  $L$  in the first  $n-1$  columns. The condition that the line corresponding to  $A$  meets the Schubert varieties in distinct points implies that the nullspace of  $U$  is trivial, and hence  $L'$  has entries in  $(t)$  as well.

If, instead,  $n$  is odd, we use the fact that  $\text{rk}(A \cap G_{n+1}) = n+1-\ell$  (also a condition to be in the Schubert cell). From Definition 4,

$$(37) \quad \text{rk}(\mathcal{E} \rightarrow \mathcal{O} \otimes V/G_{n+1}) \leq \text{rk}(\mathcal{E} \rightarrow \mathcal{O} \otimes V/G_n^\perp) \leq n+1-\ell.$$

Now  $F_{n+1}^{(0)} = F_{n+1}$  and  $G_{n+1}$  are disjoint, and the basic argument applies, using (35) and (37).

In case  $\ell(\lambda) = 2$ , we have  $A \cap F_{n+1}^{(0)} = 0$  and (35) still holds, so the argument is the same.  $\square$

We now establish the rational equivalences on  $OQ_d$  — and on  $OQ_d(p'')$  — which directly imply the quantum Giambelli formula of Theorem 1.

**Proposition 5.** *Fix  $\lambda \in \mathcal{D}_n$  with  $\ell = \ell(\lambda) \geq 3$ . Set  $r = 2\lfloor(\ell+1)/2\rfloor$ . Let  $p, p', p''$  denote distinct points in  $\mathbb{P}^1$ . Then we have the following identity of cycle classes*

$$(38) \quad [(\text{ev}^p)^{-1}(\mathfrak{X}_\lambda)^-] = \sum_{j=1}^{r-1} (-1)^{j-1} [((\text{ev}^p)^{-1}(\mathfrak{X}_{\lambda_j, \lambda_r}) \cap (\text{ev}^{p'})^{-1}(\mathfrak{X}'_{\lambda \setminus \{\lambda_j, \lambda_r\}}))^-],$$

both on  $OQ_d$  and on  $OQ_d(p'')$ , where  $\mathfrak{X}'_\mu$  denotes the translate of  $\mathfrak{X}_\mu$  by a generally chosen element of the group  $SO_{2n+2}$ .

*Proof.* Combining parts (a) and (b) of Proposition 4 gives

$$\begin{aligned} & [ ((\text{ev}^p)^{-1}(\mathfrak{X}_{\lambda_j, \lambda_r}) \cap (\text{ev}^{p'})^{-1}(\mathfrak{X}'_{\lambda \setminus \{\lambda_j, \lambda_r\}}))^- ] \\ &= [ (\text{ev}^p)^{-1}(\mathfrak{X}_{\lambda_j, \lambda_r} \cap \mathfrak{X}'_{\lambda \setminus \{\lambda_j, \lambda_r\}})^- ] + [ \beta_1((\text{ev}_1^p)^{-1}(\mathfrak{Y}_{\lambda_j, \lambda_r} \cap \mathfrak{Y}'_{\lambda \setminus \{\lambda_j, \lambda_r\}}))^- ] \end{aligned}$$

for each  $j$ , with  $1 \leq j \leq r-1$ . Now (38) follows by summing and applying (i) and (ii) of Corollary 4.  $\square$

**Theorem 4.** Suppose  $\lambda \in \mathcal{D}_n$ , with  $\ell = \ell(\lambda) \geq 3$ , and set  $r = 2\lfloor(\ell+1)/2\rfloor$ . Then we have the following identity in  $QH^*(OG)$ :

$$(39) \quad \tau_\lambda = \sum_{j=1}^{r-1} (-1)^{j-1} \tau_{\lambda_j, \lambda_r} \tau_{\lambda \setminus \{\lambda_j, \lambda_r\}}.$$

*Proof.* The classical component of (39) follows from the classical Giambelli formula for  $OG$ . To handle the remaining terms, apply a refined cap product operation [F, §8.1] along  $\text{ev}(p'')$  to general translates of  $\mathfrak{X}_\mu$  for all  $\mu \in \mathcal{D}_n$  with  $|\mu| = h(n, d) - |\lambda|$ , and invoke Corollaries 3 and 2 (as in the proof of [KT2, Thm. 5]).  $\square$

## 6. QUANTUM SCHUBERT CALCULUS

Our aim in this Section is to use Theorem 1 and the algebra of  $\tilde{P}$ -polynomials to find combinatorial rules that compute some of the quantum structure constants that appear in the quantum product of two Schubert classes.

**6.1. Algebraic background.** Let  $\mathcal{E}_n$  denote the set of all partitions  $\lambda$  with  $\lambda_1 \leq n$ . The main properties of  $\tilde{Q}$ -polynomials that we need are collected in [KT2, §2.1 and §6.1]. They imply corresponding facts about the  $\tilde{P}$ -polynomials, in particular, that the set  $\{\tilde{P}_\lambda(X) \mid \lambda \in \mathcal{E}_n\}$  is a free  $\mathbb{Z}$ -basis of the ring  $\Lambda'_n$  that they span. Hence, there exist integers  $f(\lambda, \mu; \nu)$  such that

$$(40) \quad \tilde{P}_\lambda(X) \tilde{P}_\mu(X) = \sum_\nu f(\lambda, \mu; \nu) \tilde{P}_\nu(X);$$

the constants  $f(\lambda, \mu; \nu)$  are independent of  $n$ , and defined for any  $\lambda, \mu, \nu \in \mathcal{E}_n$ . The corresponding coefficients  $e(\lambda, \mu; \nu)$  in the expansion of the product  $\tilde{Q}_\lambda(X) \tilde{Q}_\mu(X)$  are related to these by the equation

$$(41) \quad e(\lambda, \mu; \nu) = 2^{\ell(\lambda) + \ell(\mu) - \ell(\nu)} f(\lambda, \mu; \nu).$$

There are explicit combinatorial rules (involving signs in general) for computing the integers  $f(\lambda, \mu; \nu)$ , which follow from corresponding formulas for decomposing products of Hall-Littlewood polynomials; for more details, see [KT2, §6.1]. Define the connected components of a skew Young diagram by specifying that two boxes are connected if they share a vertex or an edge. We then have the following Pieri type formula for  $\lambda$  *strict*:

$$(42) \quad \tilde{P}_\lambda(X) \tilde{P}_k(X) = \sum_\mu 2^{N'(\lambda, \mu)} \tilde{P}_\mu(X),$$



where the sum is over all partitions  $\mu \supset \lambda$  with  $|\mu| = |\lambda| + k$  such that  $\mu/\lambda$  is a horizontal strip, and  $N'(\lambda, \mu)$  is one less than the number of connected components of  $\mu/\lambda$ . In particular, we have  $\tilde{P}_\lambda(X)\tilde{P}_n(X) = \tilde{P}_{(n,\lambda)}(X)$  for all  $\lambda \in \mathcal{D}_n$ .

When  $\lambda$ ,  $\mu$  and  $\nu$  are strict partitions, the  $f(\lambda, \mu; \nu)$  are classical structure constants for  $OG(n+1, 2n+2)$ ,

$$\tau_\lambda \tau_\mu = \sum_{\nu \in \mathcal{D}_n} f(\lambda, \mu; \nu) \tau_\nu,$$

and hence are nonnegative integers. In this case, Stembridge [St] has given a combinatorial rule for the numbers  $f(\lambda, \mu; \nu)$ , analogous to the usual Littlewood–Richardson rule in type  $A$ . Specifically,  $f(\lambda, \mu; \nu)$  is equal to the number of marked tableaux of weight  $\lambda$  on the shifted skew shape  $\mathcal{S}(\nu/\mu)$  satisfying certain conditions (see [St] and [P, Sect. 6] for more details).

**6.2. Quantum multiplication.** Recall from the Introduction that for any  $\lambda, \mu \in \mathcal{D}_n$  there is a formula

$$\tau_\lambda \cdot \tau_\mu = \sum f_{\lambda\mu}^\nu(n) \tau_\nu q^d$$

in  $QH^*(OG(n+1, 2n+2))$ , with each  $f_{\lambda\mu}^\nu(n)$  equal to a Gromov–Witten invariant  $\langle \tau_\lambda, \tau_\mu, \tau_{\hat{\nu}} \rangle_d$  (defined when  $|\lambda| + |\mu| = |\nu| + 2nd$ ). The nonnegative integer  $f_{\lambda\mu}^\nu(n)$  counts the number of degree- $d$  rational maps  $\psi : \mathbb{P}^1 \rightarrow OG$  such that  $\psi(0) \in \mathfrak{X}_\lambda$ ,  $\psi(1) \in \mathfrak{X}_\mu$  and  $\psi(\infty) \in \mathfrak{X}_{\hat{\nu}}$ , when the three Schubert varieties  $\mathfrak{X}_\lambda$ ,  $\mathfrak{X}_\mu$  and  $\mathfrak{X}_{\hat{\nu}}$  are in general position.

We adopt the convention that  $\tau_\lambda = 0$  for all non-strict partitions  $\lambda$ . Now Theorem 1 and the Pieri rule (42) give

**Corollary 5** (Quantum Pieri Rule). *For any  $\lambda \in \mathcal{D}_n$  and  $k \geq 0$  we have*

$$\tau_\lambda \tau_k = \sum_{\mu} 2^{N'(\lambda, \mu)} \tau_\mu + \sum_{\mu \supset (n, n)} 2^{N'(\lambda, \mu)} \tau_{\mu \setminus (n, n)} q$$

where both sums are over  $\mu \supset \lambda$  with  $|\mu| = |\lambda| + k$  such that  $\mu/\lambda$  is a horizontal strip, and the second sum is restricted to those  $\mu$  with two parts equal to  $n$ .

In recent work with Buch [BKT], we give a more direct proof of the quantum Pieri rule for  $OG$ , and the corresponding rule for the Lagrangian Grassmannian.

For any  $d, n \geq 0$  and partition  $\nu$ , let  $(n^d, \nu)$  denote the partition

$$(n, n, \dots, n, \nu_1, \nu_2, \dots),$$

where  $n$  appears  $d$  times before the first component  $\nu_1$  of  $\nu$ . Theorem 1 now gives

**Theorem 5.** *For any  $d \geq 0$  and strict partitions  $\lambda, \mu, \nu \in \mathcal{D}_n$  with  $|\nu| = |\lambda| + |\mu| - 2nd$ , the quantum structure constant  $f_{\lambda\mu}^\nu(n)$  satisfies  $f_{\lambda\mu}^\nu(n) = f(\lambda, \mu; (n^{2d}, \nu))$ .*

We deduce that for any strict partitions  $\lambda, \mu, \nu \in \mathcal{D}_n$ , the coefficient  $f(\lambda, \mu; (n^d, \nu))$  is a nonnegative integer. The constants  $f(\lambda, \mu; \nu)$  can be negative; for example

$$f(\rho_3, \rho_3; (4, 4, 2, 2)) = -1.$$

This follows from the Remark in [KT2, §6.2].

**6.3. The relation to  $QH^*(LG(n-1, 2n-2))$ .** The quantum Pieri rule of Proposition 5 implies that

$$\tau_n \tau_\lambda = \begin{cases} \tau_{(n,\lambda)} & \text{if } \lambda_1 < n, \\ \tau_{\lambda \setminus (n)} q & \text{if } \lambda_1 = n \end{cases}$$

in the quantum cohomology ring of  $OG(n+1, 2n+2)$ . Therefore, to compute all the Gromov–Witten invariants for  $OG$ , it suffices to evaluate the  $\langle \tau_\lambda, \tau_\mu, \tau_\nu \rangle_d$  for  $\mu, \nu \in \mathcal{D}_{n-1}$ . Define a map  $*$  :  $\mathcal{D}_n \rightarrow \mathcal{D}_{n-1}$  by setting  $\lambda^* = (n - \lambda_\ell, \dots, n - \lambda_1)$  for any partition  $\lambda$  of length  $\ell$ , and  $(0)^* = (0)$ .

Partitions in  $\mathcal{D}_{n-1}$  also parametrize the Schubert classes  $\sigma_\lambda$  in the (quantum) cohomology ring of the Lagrangian Grassmannian  $LG(n-1, 2n-2)$ , which was studied in [KT2]. For the remainder of this paper, we let  $' : \mathcal{D}_{n-1} \rightarrow \mathcal{D}_{n-1}$  denote the duality involution for this space, so that the parts of  $\lambda'$  complement the parts of  $\lambda$  in the set  $\{1, 2, \dots, n-1\}$ . Notice that the restriction of  $*$  to  $\mathcal{D}_{n-1}$  defines a second involution on this set, which was considered in [KT2, §6.3].

**Theorem 6.** *Suppose that  $\lambda \in \mathcal{D}_n$  is a non-zero partition with  $\ell(\lambda) = 2d + e + 1$  for some nonnegative integers  $d$  and  $e$ . For any  $\mu, \nu \in \mathcal{D}_{n-1}$ , we have an equality*

$$(43) \quad \langle \tau_\lambda, \tau_\mu, \tau_\nu \rangle_d = \langle \sigma_{\lambda^*}, \sigma_{\mu'}, \sigma_{\nu'} \rangle_e$$

*of Gromov–Witten invariants for  $OG(n+1, 2n+2)$  and  $LG(n-1, 2n-2)$ , respectively. If  $\lambda$  is zero or  $\ell(\lambda) < 2d + 1$ , then  $\langle \tau_\lambda, \tau_\mu, \tau_\nu \rangle_d = 0$ .*

*Proof.* Assume first that  $\lambda_1 < n$ , so  $\lambda \in \mathcal{D}_{n-1}$ . We then have

$$\begin{aligned} \langle \tau_\lambda, \tau_\mu, \tau_\nu \rangle_d &= f(\lambda, \mu; (n^{2d+1}, \nu')) \\ &= 2^{n+2d-\ell(\lambda)-\ell(\mu)-\ell(\nu)} e(\lambda, \mu; (n^{2d+1}, \nu')) \\ &= 2^{n+4d+1-\ell(\lambda)-\ell(\mu)-\ell(\nu)} \langle \sigma_\lambda, \sigma_\mu, \sigma_\nu \rangle_{2d+1} \end{aligned}$$

where the last equality comes from [KT2, Thm. 6]. The result now follows by applying the eight-fold symmetry [KT2, Thm. 7] for  $QH^*(LG(n-1, 2n-2))$ , which dictates

$$(44) \quad 2^{n+2d} \langle \sigma_\lambda, \sigma_\mu, \sigma_\nu \rangle_{2d+1} = 2^{\ell(\mu)+\ell(\nu)+e} \langle \sigma_{\lambda^*}, \sigma_{\mu'}, \sigma_{\nu'} \rangle_e.$$

If  $\lambda_1 = n$ , then

$$\langle \tau_\lambda, \tau_\mu, \tau_\nu \rangle_d = \langle \tau_{\lambda \setminus (n)}, \tau_\mu, \tau_{(n,\nu)} \rangle_d = f(\lambda \setminus (n), \mu; (n^{2d}, \nu')),$$

and the previous analysis applies, since  $\lambda^* = (\lambda \setminus (n))^*$ .  $\square$

Of course this theorem also provides an equality of Gromov–Witten invariants going the other way. For any  $\lambda, \mu, \nu \in \mathcal{D}_{n-1}$ , we have

$$\langle \sigma_\lambda, \sigma_\mu, \sigma_\nu \rangle_e = \begin{cases} \langle \tau_{\lambda^*}, \tau_{\mu'}, \tau_{\nu'} \rangle_d & \text{if } \ell(\lambda) - e = 2d + 1 \text{ is odd,} \\ \langle \tau_{(n,\lambda^*)}, \tau_{\mu'}, \tau_{\nu'} \rangle_d & \text{if } \ell(\lambda) - e = 2d \text{ is even.} \end{cases}$$

The  $(\mathbb{Z}/2\mathbb{Z})^3$ -symmetry (44) enjoyed by the Gromov–Witten invariants for  $LG(n-1, 2n-2)$  implies a similar one for  $QH^*(OG)$ .

**Proposition 6.** *Let  $\lambda \in \mathcal{D}_n$  be non-zero and  $\mu, \nu \in \mathcal{D}_{n-1}$ . For any  $d, e \geq 0$  with  $2d + e + 1 = \ell(\lambda)$ , we have*

$$2^{\ell(\mu)+\ell(\nu)+e+\delta} \langle \tau_\lambda, \tau_\mu, \tau_\nu \rangle_d = 2^{n+2d} \begin{cases} \langle \tau_{\lambda^*}, \tau_{\mu'}, \tau_{\nu'} \rangle_g & \text{if } e = 2g + 1 \text{ is odd,} \\ \langle \tau_{(n,\lambda^*)}, \tau_{\mu'}, \tau_{\nu'} \rangle_g & \text{if } e = 2g \text{ is even,} \end{cases}$$

where  $\delta = \delta_{\lambda_1, n}$  is the Kronecker symbol.

We now obtain orthogonal analogues of [KT2, Prop. 10] and [KT2, Cor. 8].

**Corollary 6.** *Let  $\lambda, \mu, \nu$  and  $\delta$  be as in Proposition 6. Then the inequalities*

$$(45) \quad \ell(\mu) + \ell(\nu) - n + \delta \leq 2d \leq \ell(\lambda) + \ell(\mu) + \ell(\nu) - n$$

*are necessary conditions for the Gromov–Witten invariant  $\langle \tau_\lambda, \tau_\mu, \tau_\nu \rangle_d$  to be nonzero. Moreover, if the two sides of either of the inequalities in (45) differ by 0 or 1, then  $\langle \tau_\lambda, \tau_\mu, \tau_\nu \rangle_d$  is related by the eight-fold symmetry to a classical structure constant.*

**Corollary 7.** *For any  $\lambda \in \mathcal{D}_n$ , we have*

$$\tau_\lambda \cdot \tau_{\rho_{n-1}} = \begin{cases} \tau_{\lambda^{*'}} q^d & \text{if } \ell(\lambda) = 2d \text{ is even,} \\ \tau_{(n, \lambda^{*'})} q^d & \text{if } \ell(\lambda) = 2d + 1 \text{ is odd.} \end{cases}$$

*in  $QH^*(OG)$ . In particular,*

$$\tau_{\rho_n} \cdot \tau_{\rho_n} = \begin{cases} \tau_n q^{n/2} & \text{if } n \text{ is even,} \\ q^{(n+1)/2} & \text{if } n \text{ is odd.} \end{cases}$$

## 7. APPENDIX: AN IDENTITY IN $\tilde{P}$ -POLYNOMIALS

We give a proof of the following identity, which is used to simplify a formula for degeneracy loci in type  $D$  [KT1]. The proof uses the algebraic formalism of §2.2.

**Proposition 7.** *Let  $X = (x_1, \dots, x_n)$  be an  $n$ -tuple of variables, and consider also  $\tilde{X} = (-x_1, x_2, \dots, x_n)$  and  $X' = (x_2, \dots, x_n)$ . Then, for any  $\lambda \in \mathcal{E}_n$  of length  $\ell \geq 1$  we have*

$$(46) \quad \sum_{i=1}^{\ell} (-1)^{i-1} \tilde{P}_{\lambda \setminus \{\lambda_i\}}(X) e_{\lambda_i}(X') = \tilde{P}_\lambda(\tilde{X}) + (-1)^{\ell+1} \tilde{P}_\lambda(X).$$

*Proof.* By homogeneity, (46) is equivalent to the identity

$$(47) \quad \sum_{i=1}^{\ell} (-1)^{i-1} \tilde{Q}_{\lambda \setminus \{\lambda_i\}}(X) \tilde{Q}_{\lambda_i}(X') = \frac{1}{2} (\tilde{Q}_\lambda(\tilde{X}) + (-1)^{\ell+1} \tilde{Q}_\lambda(X)).$$

To establish (47), we use identity (11) and are reduced to

$$\sum_{i=1}^{\ell} (-1)^{i-1} \tilde{Q}_{\lambda_i}(X') \sum_{\mu \in B(\lambda \setminus \{\lambda_i\}, k)} \tilde{Q}_\mu(X') = \begin{cases} \sum_{\mu \in B(\lambda, k)} \tilde{Q}_\mu(X'), & \text{if } k \neq \ell \bmod 2, \\ 0 & \text{if } k = \ell \bmod 2, \end{cases}$$

for all integers  $k$ , where  $B(\lambda, k)$  is defined as in the proof of Proposition 1. This corresponds to an identity in the algebra  $\mathcal{A}$  of formal variables with imposed relations of [KT2, §2.3], which is similar to the algebra  $\mathcal{B}$  of §2.2, except that only single bars appear.

Using the equalities

$$(48) \quad [a, b](c) - [a, c](b) + [b, c](a) = 0$$

and

$$(49) \quad [a, b](\bar{c}) - [a, c](\bar{b}) + [b, c](\bar{a}) = 0$$

in  $\mathcal{A}$ , one can verify, for each combination of parities of  $k$  and  $\ell$ , that the corresponding identity in  $\mathcal{A}$  is true (one case, that of  $k$  odd,  $\ell$  even, uses also the identity (17)). For example, when  $k$  is even and  $\ell$  is odd, we need to show that

$$(50) \quad \sum_{i=1}^{\ell} (-1)^{i-1} (\lambda_i) \sum_{\mu \in B(\lambda \setminus \{\lambda_i\}, k)} \sum \epsilon(\mu, \nu) (\nu_1, \nu_2) \cdots (\nu_{\ell-2}, \nu_{\ell-1}) = \sum_{\nu \in B(\lambda, k)} (\nu)$$

where the innermost sum on the left is over all  $(\ell-2)(\ell-4)\cdots(1)$  ways to write the set of entries of  $\mu$  as a union of pairs  $\{\nu_1, \nu_2\} \cup \cdots \cup \{\nu_{\ell-2}, \nu_{\ell-1}\}$ . Using (48), the sum of the terms on the left hand side which contain a pair with exactly one bar vanishes. The remaining terms are seen, using (48) and (49), to be equal to the Pfaffian expansion of the right-hand side of (50).  $\square$

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